Preliminary Classification:

Proposed Class: 210

Subclass:

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

#### **NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of

Inventor(s):

Thomas A. Davis

For (title):

PRODUCTION OF PURIFIED WATER AND HIGH VALUE CHEMICALS FROM

SALT WATER

#### 1. Type of Application

This application is for an original (non-provisional).

# **EXPRESS MAILING UNDER 37 C.F.R. § 1.10\***

(Express Mail label number is mandatory.) (Express Mail certification is optional)

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date August 1, 2003 in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 as "Express Mail Post Office to Addressee" Mailing Label No. ER464942688US.

Debra Dunn-Brown

Type or print name of person mailing paper

Date: August 1, 2003

Signature of person certifying

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

\*WARNING: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

# 2. Papers Enclosed

- A. Required for filing date under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application
  - 35 Page(s) of Specification
  - 15 Page(s) of Claims
  - 8 Sheet(s) of Drawing(s)--Informal

# B. Other Papers Enclosed

- 1 Page(s) of Abstract
- 1 Page(s) of Title Page
- 1 Page(s) of Express Mail Certificate
- 3 Page(s) of Patent Application Data Sheet
- 3 Page(s) of Combined Declaration and Power of Attorney (unexecuted)
- 1 Page(s) of Check No. 23232 for \$861.00
- 1 Page(s) of Return Postcard

### 3. Declaration or Oath

An unexecuted declaration is enclosed.

### 4. Inventorship Statement

The inventorship for all the claims in this application is the same.

### 5. Language

English

### 6. Fee Calculation (37 C.F.R. § 1.16)

Regular Application

			C	LAIMS	AS FII	ED					
	Number Filed Number Extra			Rate			Basic Fee 37 C.F.R. § 1.16(a) \$750.00				
Total Claims (37 C.F.R § 1.16(c))	60	_	20	=	40	x	\$	18.00	=	\$	720.00
Independent Claims (37 C.F.R § 1.16(b))	6	_	3	=	3	x	\$_	84.00	=	\$	252.00
Multiple Dependen if any (37 C.F.R							\$	280.00		\$	0.00

# 7. Assertion of Small Entity Status

Applicant hereby asserts status as a small entity under 37 C.F.R. § 1.27. Status as a small entity was asserted in the prior application 60/400,598 filed on August 2, 2002 from which benefit is being claimed for this application under 35 U.S.C. § 119(e) and which status as a small entity is still proper and asserted for this application.

Filing Fee Calculation (50% of above Filing Fee Calculation)

\$861.00

# 8. Fee Payment Being Made at This Time

**Enclosed** 

Filing Fee

\$861.00

**Total Fees Enclosed** 

\$861.00

### 9. Method of Payment of Fees

Attached is Check No. 23232 in the amount of \$861.00.

Charge any additional fees required by this paper or credit any overpayment to Account No. 50-2548.

A duplicate of this paper is attached.

# 9. Authorization to Charge Additional Fees

The Office is hereby authorized to charge, to Account No. 50-2548, any additional fees that may be required by this paper and during the entire pendency of this application.

### 10. Instructions as to Overpayment

Credit Account No. 50-2548.

Date: August 1, 2003

Reg. No.: 35,124

Tel. No.: 864-250-2238

Signature of Practitioner

Charles E. Dunlap

Nelson Mullins Riley & Scarborough, LLP

Post Office Box 11070 Columbia, SC 29211

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Thomas A. Davis

Application No.: Not yet assigned Group No.: Filed: August 1, 2003 Examiner:

For: PRODUCTION OF PURIFIED WATER AND HIGH VALUE CHEMICALS FROM SALT

WATER

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **EXPRESS MAIL CERTIFICATE**

"Express Mail" label number <u>ER464942688US</u> Date of Deposit August 1, 2003

I hereby state that the following attached papers or fees:

- 1. Fee Transmittal Sheet (1 page)
- 2. New Application Transmittal with Duplicate (3 pages each)
- 3. Patent Application Data Sheet (3 pages)
- 4. Unexecuted Combined Declaration and Power of Attorney (3 pages)
- 5. Non-Provisional Application with Title Page, Abstract (52 pages)
- 6. Drawings (Informal) (8 pages)
- 7. Check No. 23232 for \$861.00 (1 page)
- 8. Return Postcard (1 page)

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.

Debra Dunn-Brown

Signature of person mailing paper or fee

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE	TRA	NSMI	TTAL
	for F	Y 200	3

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27 (\$) 861.00 TOTAL AMOUNT OF PAYMENT

Complete if Known			
Application Number	Not yet assigned		
Filing Date	August 1, 2003		
First Named Inventor	Thomas A. Davis		
Examiner Name	Not yet assigned	_	
Art Unit	Not yet assigned		
Attorney Docket No.	16139/09038		

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None						
Deposit Account:	Large Entity Small Entity					
Denosit	Fee Fee Fee Fee Description Code (\$) Code (\$)	Paid				
Account Sumber	1051 130 2051 65 Surcharge - late filing fee or oath					
Deposit Account Nelson Mullins et al	1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet					
Name The Commissioner is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification					
Charge fee(s) indicated below Credit any overpayments	1812 2,520 1812 2,520 For filing a request for ex parte reexamination					
Charge any additional fee(s) during the pendency of this application	n 1804 920* 1804 920* Requesting publication of SIR prior to Examiner action					
Charge fee(s) indicated below, except for the filing fee	1805 1,840* 1805 1,840* Requesting publication of SIR after					
to the above-identified deposit account.	1251 110 2251 55 Extension for reply within first month	]				
FEE CALCULATION	1252 410 2252 205 Extension for reply within second month					
1. BASIC FILING FEE Large Entity Small Entity	1253 930 2253 465 Extension for reply within third month					
Fee Fee Fee Fee Fee Description Fee Paid	1254 1,450 2254 725 Extension for reply within fourth month					
Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee	1255 1,970 2255 985 Extension for reply within fifth month					
1001 750 2001 375 Utility filing fee 375	1401 320 2401 160 Notice of Appeal					
1003 520 2003 260 Plant filing fee	1402 320 2402 160 Filing a brief in support of an appeal					
1004 750 2004 375 Reissue filing fee	1403 280 2403 140 Request for oral hearing					
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public use proceeding					
SUBTOTAL (1) (\$) 375.00	1452 110 2452 55 Petition to revive - unavoidable					
	1453 1,300 2453 650 Petition to revive - unintentional	∤				
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from	1301 1,300 2301 330 duity issue fee (of feissue)					
Extra Claims below Fee Paid  Total Claims 60 -20** = 40 x 9 = 360	71 ··· · · · · · · · · · · · · · · · · ·					
Independent 6 - 3** = 3 x 42 = 126	1503 630 2503 315 Plant issue fee					
Claims 0 -3" = 5 1 1 1 2 2 3 1 1 1 2 2 3 1 1 1 2 2 3 1 1 1 2 2 3 1 1 1 1	1460 130 1460 130 Petitions to the Commissioner					
	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)					
Large Entity   Small Entity Fee Fee Fee Fee Fee Description	1806 180 1806 180 Submission of Information Disclosure Stmt					
Code (\$) Code (\$)	8021 40 8021 40 Recording each patent assignment per property (times number of properties)					
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3	1809 750 2809 375 Filing a submission after final rejection (37 CFR 1.129(a))					
1203 280 2203 140 Multiple dependent claim, if not paid	1810 750 2810 375 For each additional invention to be examined (37 CFR 1.129(b))					
1204 84 2204 42 ** Reissue independent claims over original patent	1801 750 2801 375 Request for Continued Examination (RCE)					
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application					
SUBTOTAL (2) (\$) 486.00	Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00	7				

SUBMITTED BY			(Complete	(if applicable)		
Name (Print/Type)	Charles E. Dunlap	Registration No. (Attorney/Agent) 35,124		Telephone 864-250-2238		
Signature	COLA I		Date	August 1, 2003		

WARNING: Information on this form n ay become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.